

Box Patent Application
 Commissioner of Patents and Trademarks
 Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date June 7, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number E1860717705 US with proper postage affixed addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FORREST L. COLLINS

(Type or print name of person mailing paper)

(Signature of person mailing paper)

Inventor(s): DR. VERNON WEN-HAU LIN, M.D.

**WARNING: PATENT MUST BE APPLIED FOR IN THE NAME(S) OF ALL OF THE
 ACTUAL INVENTOR(S). 37 CFR 1.41(A) AND 1.53(B).**

Title: TREATMENT OF EXCRETORY PROBLEMS

1. Type of Application

☒ Original☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

2. Fee Calculation (37 CFR 1.16)

☒ Regular application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total			
Claims (37 CFR 1.16(c)) 25 - 20=	5 X	\$18.00	\$ 90.00
Independent			0\$X
Claims (37 CFR 1.16(b)) 3 - 3=	0 X	\$78.00	
Multiple dependent claim(s), if any (37 CFR 1.16(d))			
		\$760.00	\$760.00

REDUCTION FOR SMALL ENTITY STATUS OF 50% PER ATTACHED CLAIM FOR SUCH

☐ Amendment canceling extra claims enclosed.

66/10/99

Jc660 U.S. PTO

 Jc511 U.S. PTO
 09/326853
 06/07/99

66/10/99 09/326853

3. Fee Payment Being Made and Authorization to Charge Fees

- ☒ basic filing fee \$ 425.00
☐ recording assignment \$

4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- | | |
|----------------------------------|----------------------------|
| <u>21</u> Pages of specification | <u>1</u> Pages of Abstract |
| <u>3</u> Pages of claims | <u>4</u> Sheets of drawing |

5. Additional papers enclosed

- | | |
|---|---|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Cited References |
| <input type="checkbox"/> Information Disclosure Statement (37 CFR 1.98) | <input type="checkbox"/> Other |
| <input type="checkbox"/> FORM PTO-1449 | |
| <input checked="" type="checkbox"/> SMALL ENTITY STATUS enclosed | |

6. Declaration or oath

- ☒ Executed ☐ Unexecuted and enclosed

7. Assignment

- ☐ An assignment of the invention to was previously filed.
☐ A separate "Cover Sheet for Assignment (Document) Accompanying New Patent Application" is attached.

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. N/A

- ☒ 37 CFR 1.16(a)-(g)
☒ 37 CFR 1.17 (application processing fees)
☒ credit any overpayment to Account No.

Total fees enclosed \$ 425.00


Signature of Attorney

FORREST L. COLLINS

Type or print name of attorney

Registration number 27,186

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8. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s)

☒ This transmittal ends with this page.

(Application Transmittal 14-11--page 2 of 2)

09326853-060799
661-222-7333

CLAIM FOR SMALL ENTITY STATUS

Applicant: DR. VERNON WEN-HAU LIN, M.D. Docket No. 1135

Serial or Patent No.:

Filed or Issued:

Title: TREATMENT OF EXCRETORY PROBLEMS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))-INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(C) for purposes of paying reduced fees under SECTION 41(A) and (B) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention which is described in:

☒ the specification filed herewith.

☐ application serial no. _____ filed _____

☐ patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(C) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(D) or a nonprofit organization under 37 CFR 1.9(E).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization

☐ persons, concerns or organizations listed below

FULL NAME and ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(B)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under SECTION 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

DR. VERNON WEN-HAU LIN, M.D.

Name of inventor

Name of inventor

Name of inventor

Vernon W. Lin

Signature

Signature

Signature

May 3, 1999
Date

Date

Date

657090-5532560